

Skills Strengthening for Industrial Value Enhancement, (STRIVE)

(STRIVE- UTTARAKHAND)

Internal Audit Report

For the Period from 1st October 2019 to 31st March 2020

We have conducted the internal audit of "SKILL STRENGTHENING FOR INDUSTRIAL VALUE ENHANCEMENT (STRIVE) " for the period 1st October 2019 to 31st March 2020 in accordance with the Terms of Reference (ToR), we have conducted the audit of State Project Implementation Unit (STRIVE) located at Dehradun, State Apprenticeship Monitoring Cell (SAMC) located at Dehradun, Industry Cluster and Government Industrial Training Institute located at Haridwar , Ramnagar , Tandi, Betalghat, Kalsi , Chamba, Saldmahadev and Dineshpur.

We have obtained and checked Summary of Fund received Result Area -wise and Summary of Expenditure for the Audit Period. We have compared the Utilization Certificate (FMR Reports) from Summary of Fund received Result Area -wise and Summary of Expenditure.

On the basis of our Internal audit Procedures conducted by us during our audit we report our findings below:

The Skill Strengthening for Industrial Value Enhancement (STRIVE) is one such pioneering initiative assisted by The World Bank with the objective of improving the relevance and efficiency of the skills training provided through it is and Apprenticeships. STRIVE is a Five year project that extended till November 2022 and shall incentivize ITI to improve overall performance including apprenticeship by involving SME's , business association and industry clusters. All the funds has released by the MSDE to the State Governments via use of PFMS to ensure accountability of utilizing public money.

The total outlay of the project is INR 2200/- crores for four result areas like Improved Performance of ITTs, Increased State Capacities to support ITI's and Apprenticeship training, improved teaching and Learning, Improved and Broadened Apprenticeship training etc. The project emphasizes on strengthening industry linkage in ITI's and apprenticeship ecosystem across India.



A. Consolidated Budget Utilization Summary

The project office wise budget allotment and utilization statement for the period 01/04/2019 to 31/03/2020 along with the closing balances as on 31/03/2020 is summarized below:

S.N.	Name of the Project Office	Opening Balance	Budget Received from 01/10/2019 to 31/03/2020	Total amount of Expenditures from 01/10/2019 to 31/03/2020	Closing Balance as on 31/03/2020
(1)	(2)	(3)	(4)	(5)	(6)
1	State Project Implantation Unit , Dehradun	0	97,00,000	0	97,00,000
2	State Apprenticeship Monitoring Cell (SAMC)	0	48,00,000	0	48,00,000
3.	Govt ITI, Haridwar	0	0	0	0
4.	Govt ITI, Ramnagar	0	0	0	0
5.	Govt ITI, Tandi	0	0	0	0
6.	Govt ITI, Betalghat	0	0	0	0
7.	Govt ITI, Kalsi	0	0	0	0
8.	Govt ITI, Chamba	0	0	0	0
9.	Govt ITI, Saldmahadev	0	0	0	0
10.	Govt ITI, Dineshpur	0	0	0	0
11.	Industry Cluster	0	0	0	0
Grand Total		0	1,45,00,000		1,45,00,000

Note :- In context to above report it is clarified that figures shown in column No. 4 in table includes advances made to the ITI, IC, etc.



Common Observation & Recommendations

1. We observed that SPIU and SAMC has Received the Rs 1,45,00,000/- grant under RA-2 & RA-4 during the audit period and The SPIU and SAMC has incurred Rs Nil/- expenditure during the audit period.
2. The ITI's has Received Rs Nil/- grant during the audit period and The ITI's has incurred Rs Nil/- expenditure during the audit period.
3. We observed that the SPIU (Strive) and SAMC has not prepared and maintained the Balance sheet showing Accumulated Funds of the project, Bank Balances , other assets of the project and liabilities.

(A) SPIU (Strive)

(Figures in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
9700.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs.0.00/- Lakh and further during the period they have received of Rs 97.00 Lakhs. SPIU (Strive) has incurred the expenditure of Rs. Nil/- during the audit period. At the end of the audit period an amount of Rs. 97.00/-Lakh is lying with unspent.

- We observed that The SPIU (Strive) has received the Rs 97.00/- lakh grant under RA-2 during the audit period and The SPIU (Strive) has incurred Rs Nil/- expenditure during the audit period.
- We observed that the SPIU (Strive) has not prepared and maintained the Balance sheet showing Accumulated Funds of the project, Bank Balances , other assets of the project and liabilities

(B) State Apprenticeship Monitoring Cell (SAMC) :

(Figures in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
4800.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs.0.00/- Lakh and further during the period they have received of Rs 48.00/- Lakhs under RA- 4 . SAMC has incurred the expenditure of Rs.Nil/- during the period. At the end of the period an amount of Rs.0.00/-Lakh is lying with unspent.

- We observed that The SAMC has received the Rs Nil/- during the audit period and The SAMC has incurred Nil/- expenditure/incurred during the audit period.



(C): Govt ITI, Haridwar

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/- . ITI's Haridwar has incurred the expenditure of Rs.Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.

(D) Govt ITI, Ramnagar

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/- . ITI's Ramnagar has incurred the expenditure of Rs.Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.

(E) Govt ITI, Tandi

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/- . ITI's tandi has incurred the expenditure of Rs.Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.



(F) Govt ITI, Betalaghat

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/- . ITI's Betalaghat has incurred the expenditure of Rs. Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.

(G) Govt ITI, Kalsi

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/- . ITI's Kalsi has incurred the expenditure of Rs.Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.

(H) Govt ITI, Chamba

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs Nil/- and further during the period they have received of Rs Nil/- . ITI's Chamba has incurred the expenditure of Rs.Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.



(I) Govt ITI, Saldmahadev

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/-. ITI's Saldmahadev has incurred the expenditure of Rs. Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.

(J) Govt ITI, Dineshpur

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/-. ITI's Dineshpur has incurred the expenditure of Rs. Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.

(K) Industry cluster

(Figure in '000)

Budget up to 31.03.2020	Total Expenditure Against Budget up to 31.03.2020	% Utilization
0.000	0.000	0.000

As on 01/10/2019 there was an Opening Balance of Rs. Nil/- and further during the period they have received of Rs Nil/-. Industry Cluster has incurred the expenditure of Rs.Nil/- during the period. At the end of the period an amount of Rs Nil/- is lying with unspent.

GOYAL PARUL & Co
Chartered Accountants
FRN: 016750N

Dayanand Deepak

(Partner)

M.No:518811

UDIN NO -

Date: 14/11/22

UDIN → 22518811BEZTQK5518



Place: Dehradun

Skills Strengthening for Industrial Value Enhancement, (STRIVE)

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For the Period from 1st October 2019 to 31st March 2020

S.N	Itineraries	Compliances	Comments
1.	Goods and services financed have been procured in accordance with the relevant financing agreement and procurement norms as laid out in the operations manual;	Yes	Followed
2.	Procurement processes being followed at the ITIs, ICs and State Societies are as per Procurement Guidelines under STRIVE project as per para 4.2 of the OM	Yes	Followed
3.	Any procurement related complaints received, and time taken in disposal of each such complaint, and verifying that no contracts excluded from the Program have been awarded.	Yes	Followed
4.	Publication of Annual /Quarterly procurement plan on SPIU website yes/no (If yes, URL of website to be mentioned.)	No	
5.	Selected contractor/supplier/service Provider/Consultant on world Bank's list of temporarily suspended and debarred firms.	No	No such cases found
6.	Contract Award Notice (CAN) as per applicable formats (Competitive selection, Request for quotations, Direct Selection) are uploaded on website/public domain against of each of the completed procurement (applicable in case of LOA/contract issued	Yes	Followed
7.	Any other section reviewed on procurement	Yes	No comments

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FRN: 016750M

Dayanand Deepak

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(Partner)

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Date: 14/11/22



Place: Dehradun